| Process# | Actor | Process | Input(s) | Output(s) (Important: See notes 1 & 2) | Tentative Start Date | Tentative End Date | Status |
|---|---|---|---|---|---|---|---|
| **Phase 1: Groundwork for Uniform Provider Type Definitions & Provider Descriptions** | | | | | | | |
| 1.00 | AID | **Uniform Provider Type Definition** AID creates the Provider Types list that will be monitered for Network Adequacy along with NUCC Taxonomic components. The initial list is prepared in consultation with with Arkansas Department of Health, Arkansas Center for Health Improvement etc. and later provided to the carriers for comment. | 1) NUCC Taxonomy | 1) *Provider Type Definitions* (**AID file name "Finalized PY2017 Provider Type Taxonomic Descriptions"**) | | Complete | Complete |
| 2.00 | | **Uniform Provider Description** This is a collaborative attempt to create a uniform view of healthcare providers who administer services to Arkansans, starting with the NPI Registry and carrier's NPI lists. | | | | | |
| 2.10 | Individual Carriers | Carriers furnish an NPI list of Providers, who serve Arkansans, via template provided by AID. | Individual carrier's claims and/or contract data | 1) Individual carrier's *Provider NPI* list (**Suggested file name "<Date of submission in YYYYMMDD format>_<Carrier NAIC#>_<Carrier name>_NPI_List.csv"**) | | 1/29/2016 | |

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| 2.15 | Individual Carriers | Individual carriers provide *Employer NPI-Provider NPI relationship lists* to AID via templates provided by AID | Individual carrier's claims and/or contract data | 1) Individual carrier's *Employer NPI-Provider NPI relationship lists* **(Suggested file name "<Date of submission in YYYYMMDD format>_<Carrier NAIC#>_<Carrier name>_NPI_Relationship_List .csv")** | | 2/22/2016 | |
| 2.20 | AID | AID aggregates all NPI lists into a consolidated Provider Type -NPI list. This is done by first removing NPI duplications sumitted by various carriers and then using the *provider Type Definition* and the *NPI Registry to categorize each NPI to a Provider Type .* | 1) Outputs of Process#2.10 2) NPI Registry 3) Output of Process#1.00 *Provider Type Definitions* | 1) Initial *Provider Type-NPI list* **(AID file name "Initial PY2017 Provider Type-NPI list")** | | 2/3/2016 | |
| 2.25 | AID | AID consolidates Individual carrier's Employer NPI-Provider NPI relationship lists. This consolidated list will have one row for each Employer NPI-Provider NPI combination represtenting the relationship. This dataset is for carriers to make sure they are not missing NA coverage data based on limited in-house relationship data | 1) Output of Process#2.15 | 1) *Consolidated Employer NPI-Provider NPI relationship list* **(AID file name "Consolidated PY2017 NPI Relationship list")** | | 3/1/2016 | |

| 2.30 | Individual Carriers | Carriers communicate changes necessary to the Consolidated Provider Type-NPI *list* through addition/deletion suggestions in the template provided by AID | 1) *Consolidated Provider Type-NPI list* - Output from Process#2.20 | 1) Addition-Deletion suggestions to the Provider Type- NPI list via template provided by AID **(Suggested file name "<Date of submission in YYYYMMDD format>_<Carrier NAIC#>_<Carrier name>_Provider_Type_NPI_AddDelete.csv")** | | 2/11/2016 | |
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| 2.40 | AID | AID consolidates Addition-Deletion suggestions to the Provider Type-NPI list for Industry to vote. | 1) Output of Process#2.30 | 1) Consolidated Addition-Deletion suggestions to the NPI-Taxonomy association information **(AID file name "PY2017 Industry Provider Type Addition-Deletion suggestions")** | | 2/15/2016 | |
| 2.50 | Individual Carriers | Carriers vote on the consolidated Addition-Deletion suggestions to Provider Type- NPI list via template provided by AID | 1) Output of Process#2.40 | 1) Individial carrier votes on the Consolidated Addition-Deletion suggestions to the Provider Type-NPI list **(Suggested file name "<Date of submission in YYYYMMDD format>_<Carrier NAIC#>_<Carrier name>_ObjectionVote.csv")** | | 2/24/2016 | |

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| 2.60 | AID | AID processes carrier votes to finalize NPI-Taxonomy association list. (AID preserves carriers votes for reuse in subsequent years.)<br><br>At the end of this process a Uniform Provider Type Definitions and Uniform Provider Description is available to all stakeholders in Arkansas. | 1) Output of Process#2.50 | 1) Final Consolidated Provider Type -NPI list (Final Provider Description)<br>**(AID file name "Finalized PY2017 Provider Type-NPI list")** | | 3/1/2016 | |
| **Phase 2: Annual Network Adequacy Data submission and regulatory review** | | | | | | | |
| 3.00 | Individual Carriers | Individual carriers now use the Uniform Provider Type Definitions, finalized Uniform Provider Descriptions and the consolidated Employer NPI-Provider NPI relationship list to create their provider type pools for the generation of the network adequacy reports for AID review. | 1) Output of Process#2.25<br>2) Output of Process#2.60 | 1) Network Adequacy reports<br>a) Excel countywise reports (via AID templates)<br>b) Geo access maps<br>2) Initial justifications (via AID templates)<br>3) Federal Data templates related to Network Adequacy | | 4/1/2016 | |

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| 3.10 | AID | AID reviews Network Adequacy reports in conjunction with justifications w.r.t. Rule 106 and in comparison with other carriers. | 1) Output of Process#3.00 | Any combination of the following: 1) Objections when justifications are deemed inadequate and/or degree of NA non-compliance versus provider availablity warrants regulatory communication 2) State approval (provisional or otherwise) 3) Denial of State approval | | Depends but before 5/11/2016 | |
| 3.20 | Individual Carriers | Response to objections if made by AID. | 1) Objections by AID (Output of Process#3.10) | 1) Revised Justifications via AID template (and revised NA reports if deemed necessary) | | | |
| 3.30 | Individial Carriers/AID | Potential back and forth between Individial carriers and AID through repeat of Process#3.10 & Process#3.20 | | Either of the two: 1) State approval (provisional or otherwise) 2) Denial of State approval | | 5/11/2016 | |
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## Phase 3: Monitoring Network Adequacy

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| 4.00 | Individual Carriers | Individual carriers to provide snapshot of NA data on a semi-annual basis to enable AID to review changes in the carrier networks. | 1) Output of Process#2.25 2) Output of Process#2.60 | 1) Network Adequacy Excel countywise reports (via AID templates) 2) Federal Data templates related to Network Adequacy | | | |

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| 4.10 | AID | AID monitors Network Adequacy based on various inputs | Any combination of the following<br>1) Consumer Complaints<br>2) Output of Process#4.10<br>3) Analysis of online provider directories w.r.t. other NA data | Any combination of the following:<br>1) Recommendation of improvements (through objections)<br>2) Withdrawal of State approval | | | |
| 4.20 | Individual Carriers | Response to objections if made by AID. | 1) Objections by AID (Output of Process#4.10) | 1) Revised Justifications using AID template (and revised NA reports if deemed necessary) | | | |
| 4.30 | Individial Carriers/AID | Potential back and forth between Individial carriers and AID through repeat of Process#4.10 & Process#4.20 | | Either of the two:<br>1) State approval (provisional or otherwise)<br>2) Denial of State approval | | | |

| **Notes:** | |
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1) AID's file location is the web-page http://rhld.insurance.arkansas.gov/Default/NetworkAdequacy.

2) The carrier's file desination is AID's secure FTP server accessed per document "General Data submission process to RHLD"  located
 in http://rhld.insurance.arkansas.gov/Info/Public/Templates